



The Role of Valley Degeneracy in Carrier Extraction in Valley Photovoltaic Solar Cells

Kyle R. Dorman, Vincent R. Whiteside, David K. Ferry, Israa G. Yusuf, Tanner J. Legvold, Tetsuya D. Mishima, Michael B. Santos, Stephen J. Polly, Seth M. Hubbard, and Ian R. Sellers

Homer L. Dodge Department of Physics & Astronomy, University of Oklahoma, Norman, Oklahoma 73019, USA
School of Electrical, Computer, and Energy Engineering, Arizona State University, Tempe, Arizona 85287-5706, USA
NanoPower Research Labs (NPRL), Rochester Institute of Technology, Rochester, NY 14623, USA



- Hot Carriers and Band Structure
- Intervalley Scattering and the Gunn Effect
- Potential Device Structures
- Band Alignments and Barriers
- Future Work

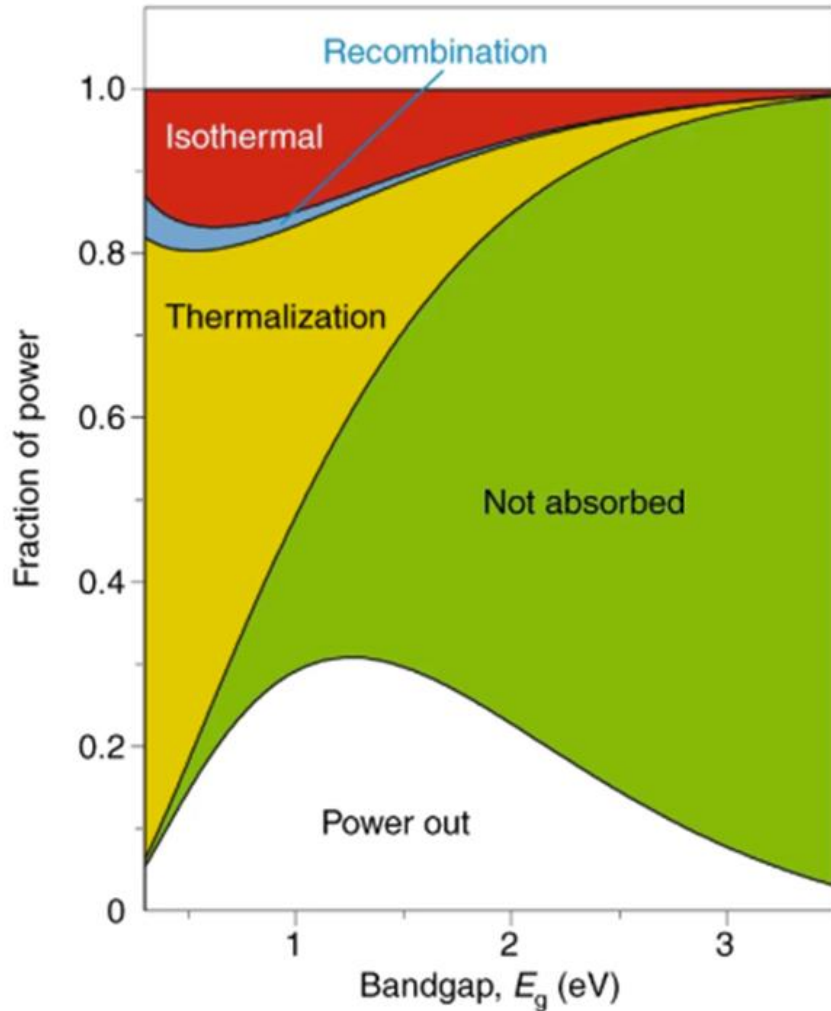


@SellersPVGroup





Introduction



- Single gap solar cells are limited to ~30% efficiency
- Photons above the bandgap will generate “hot carriers” that swiftly thermalize
- A hot carrier solar cell addresses *thermalization loss* by extracting those high energy electrons

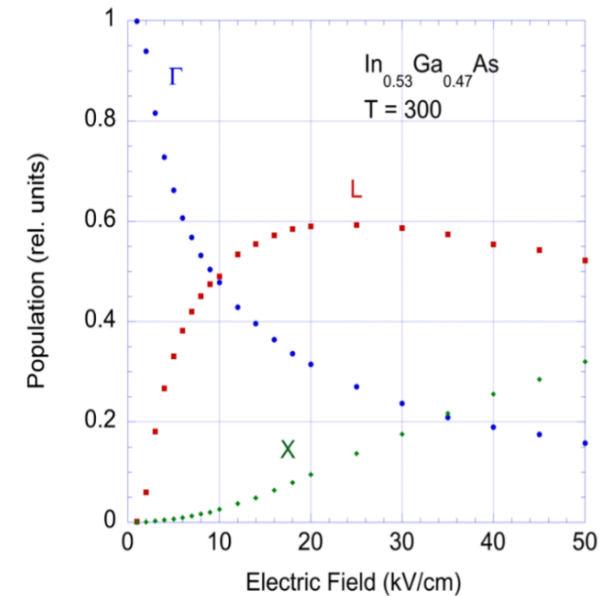
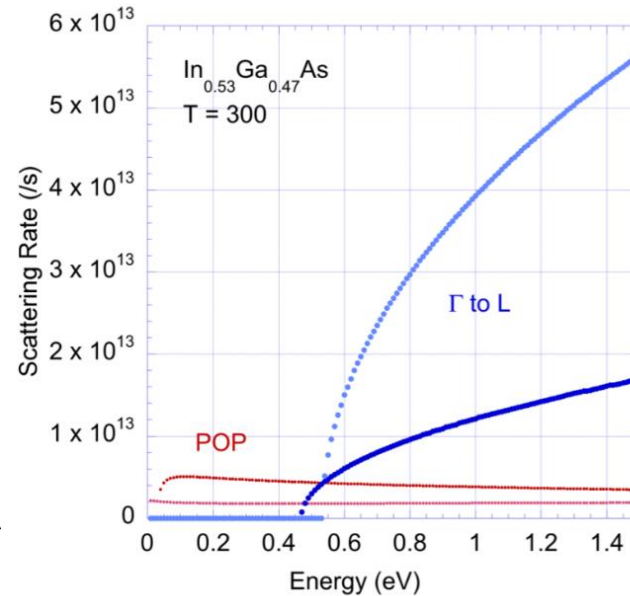
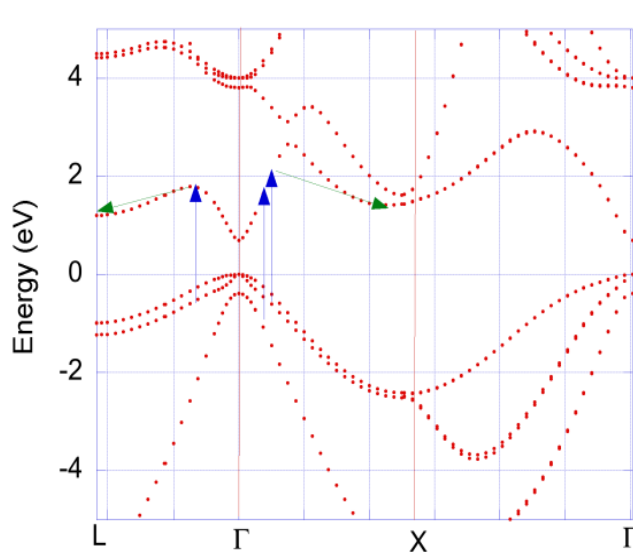
Guillemoles, J., Kirchartz, T., Cahen, D. *et al.* Guide for the perplexed to the Shockley–Queisser model for solar cells. *Nat. Photonics* **13**, 501–505 (2019). <https://doi.org/10.1038/s41566-019-0479-2>





Valley Photovoltaics: Intervalley Scattering

David K. Ferry, ASU. "In Search of a True Hot Carrier Solar Cell,"
D K Ferry, *Semicond. Sci. Technol.* Vol. **34** no. 4 (2019).



- High energy electrons: Intervalley scattering
- Low energy electrons: The Gunn Effect
- Transfer, store, and extract via upper valleys!

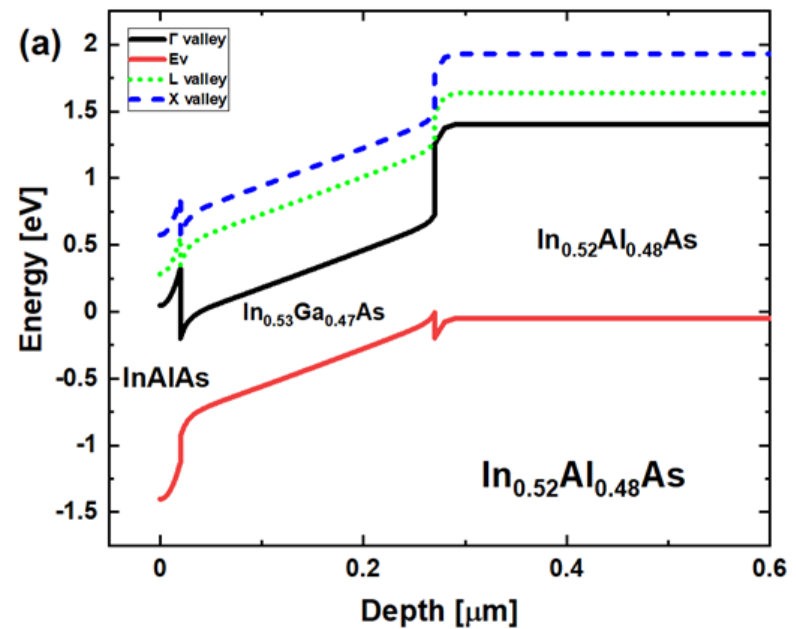
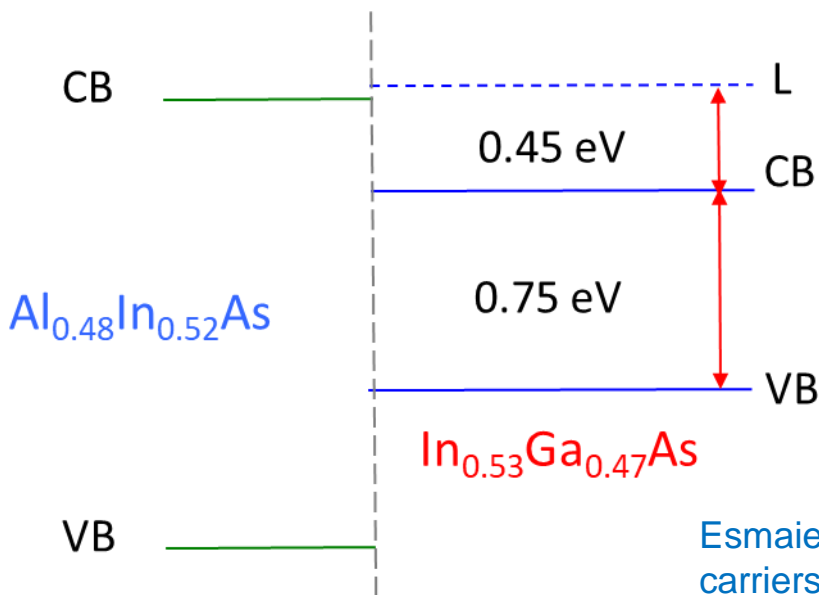




Device Structure

n+: $\text{In}_{0.52}\text{Al}_{0.48}\text{As}$, 20 nm, $1\text{e}18\text{ cm}^{-3}$
n : $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$, 250nm, $1\text{e}15\text{ cm}^{-3}$
p+: $\text{In}_{0.52}\text{Al}_{0.48}\text{As}$, 1000nm, $1\text{e}18\text{ cm}^{-3}$
p: InP substrate

- Need a resonant barrier material with InGaAs, and an absorber with both a bandgap and an L valley in the solar spectrum.



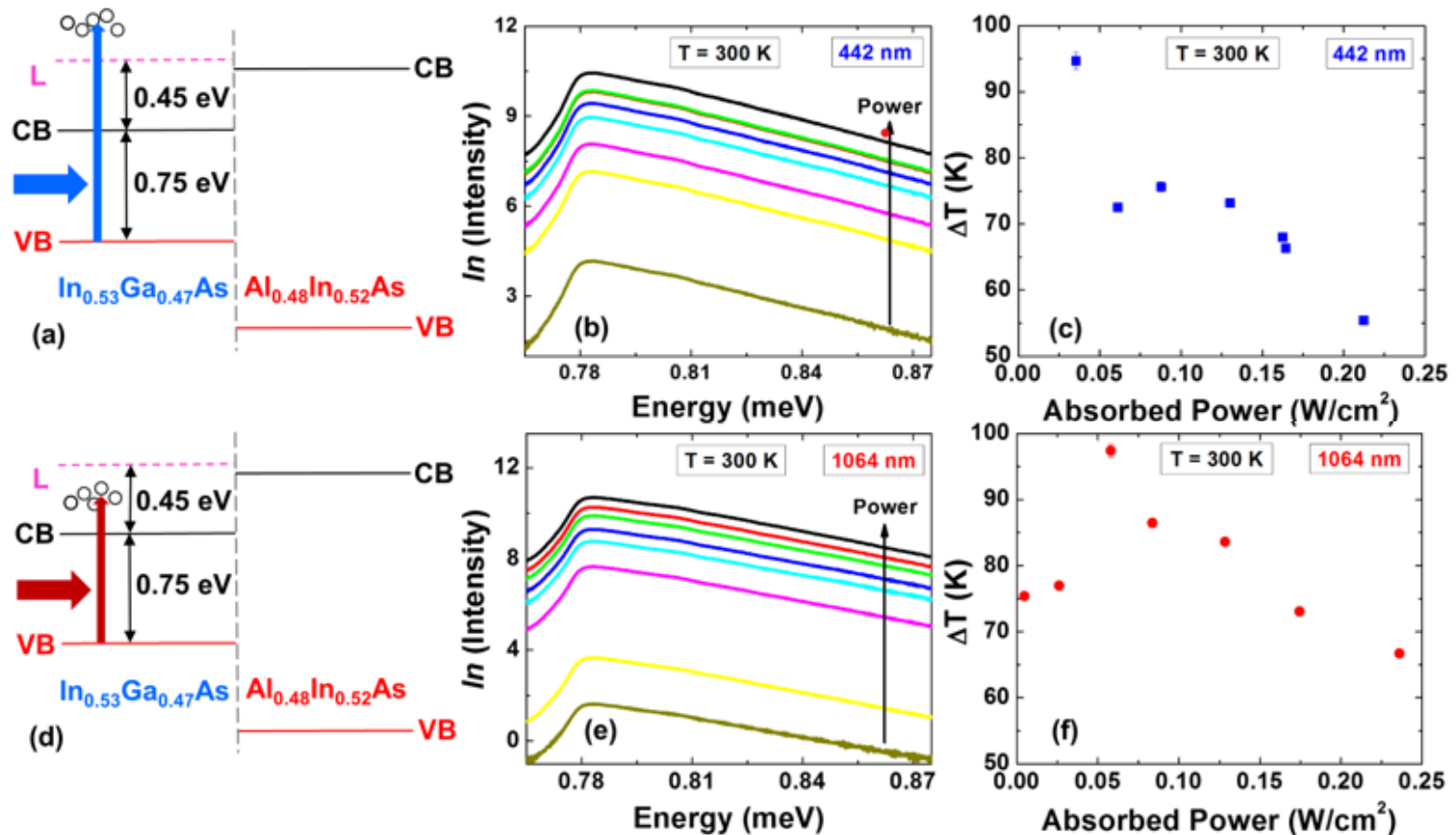
Esmailpour et al., "Exploiting intervalley scattering to harness hot carriers in III-V solar cells," *Nature Energy* **5**, 336-343 (2020).



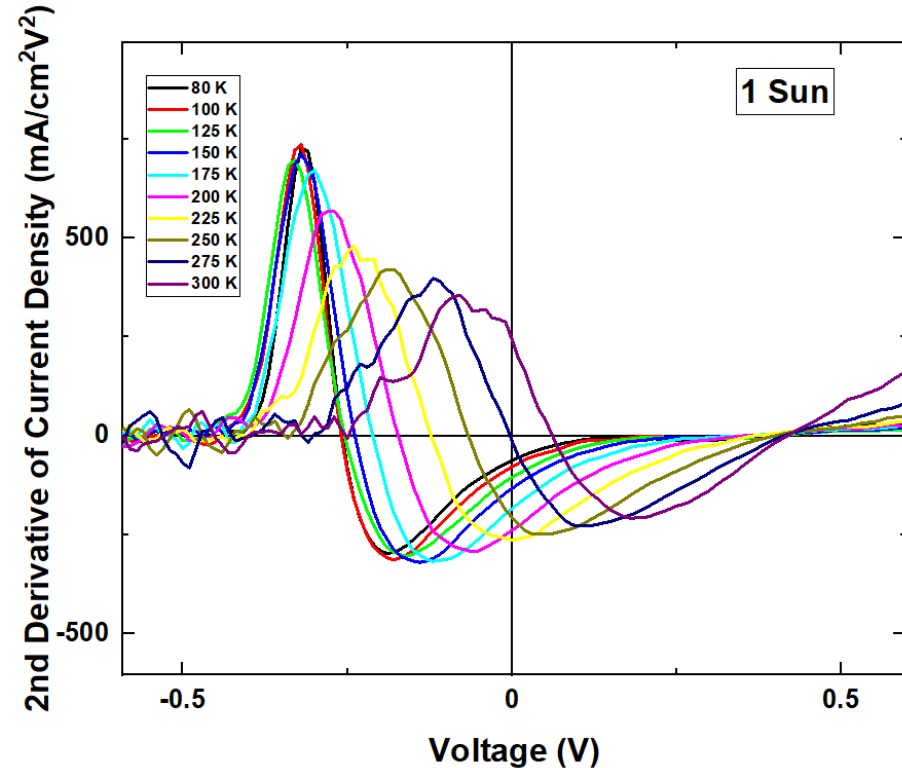
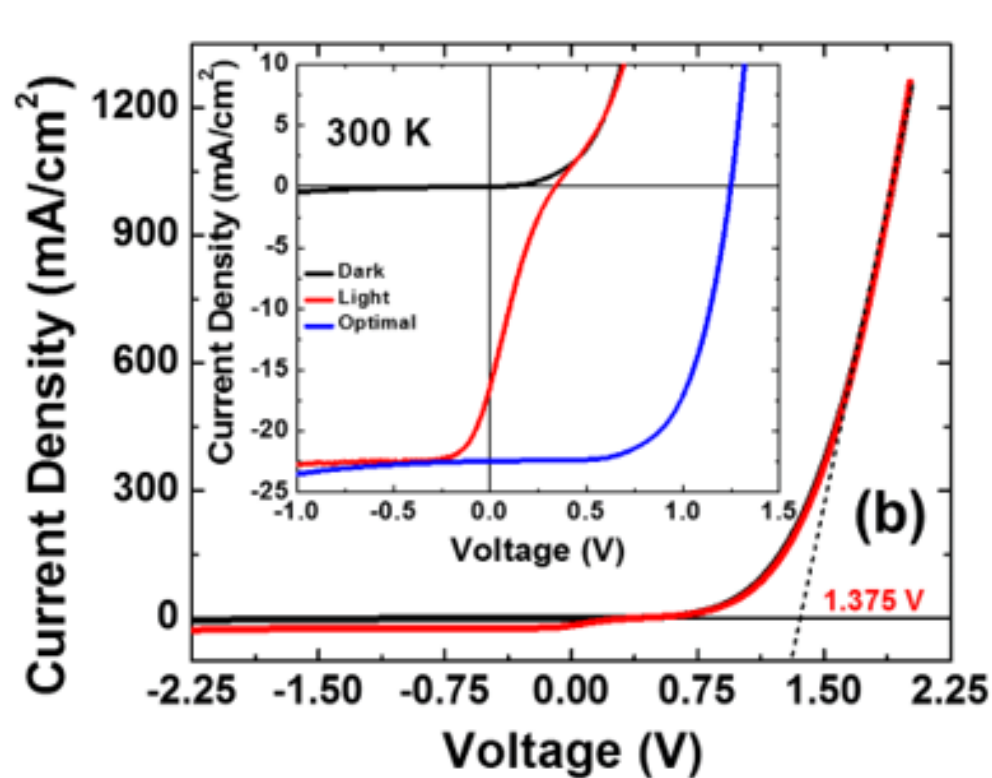
Evidence of Hot Carriers

- The carrier temperature in the device can be quantified by fitting the high energy tail of the photoluminescence spectrum to the generalized Planck relation:

$$I(E) = \varepsilon(E) \cdot \exp\left[\frac{-E}{k_B T_c}\right]$$

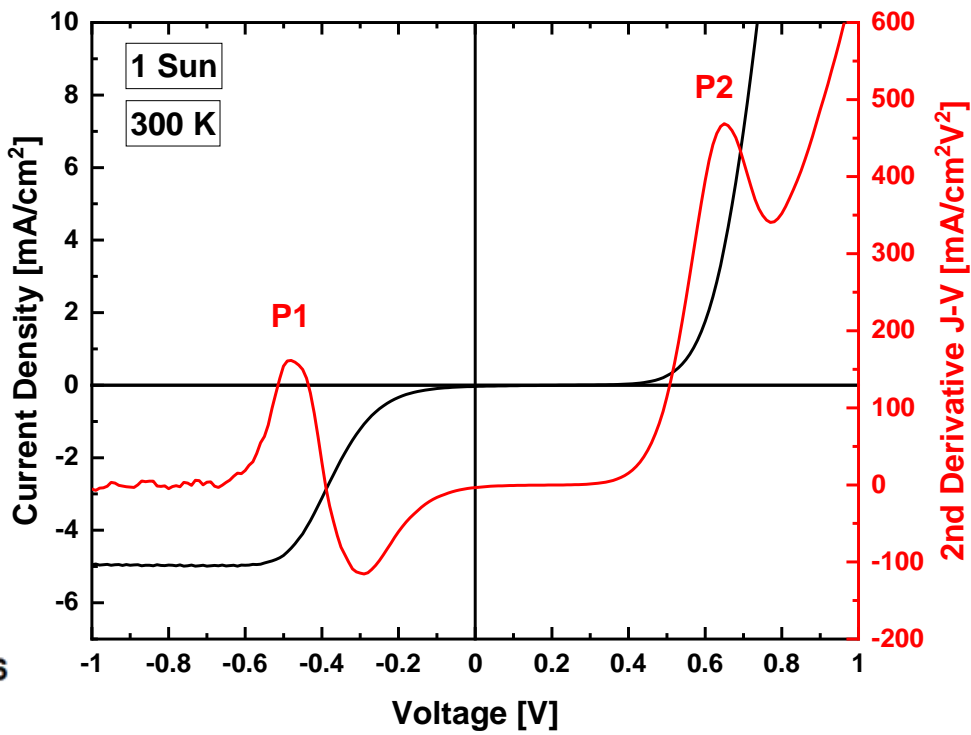
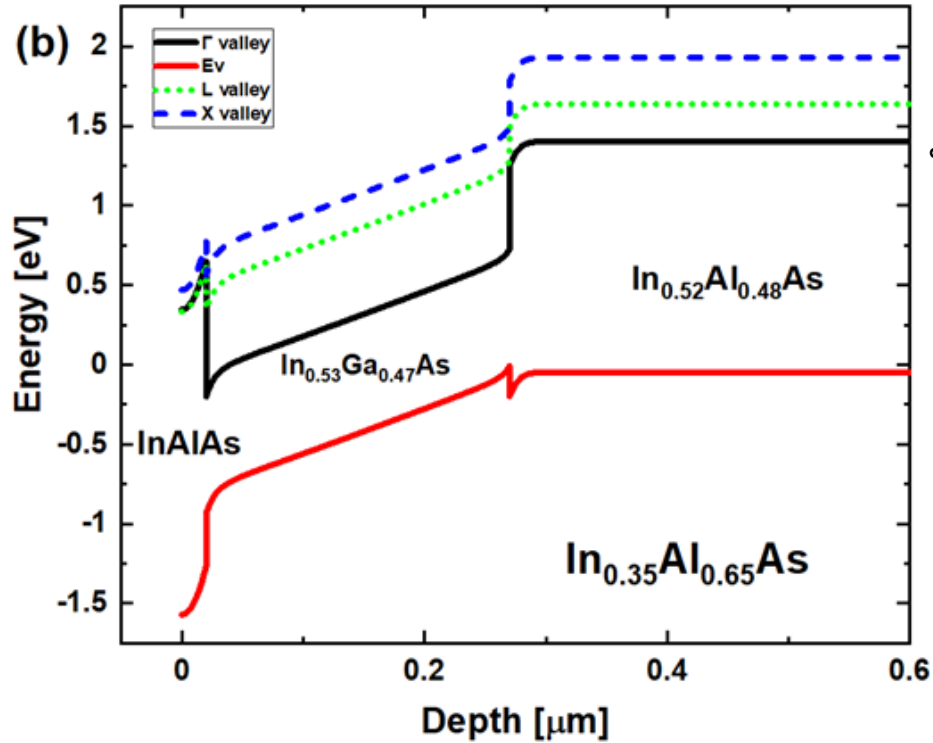


Role of Valley Degeneracy at Absorber/Barrier Interface



- Initial suggestion: L of the absorber to Γ of the top layer is not an efficient transition.



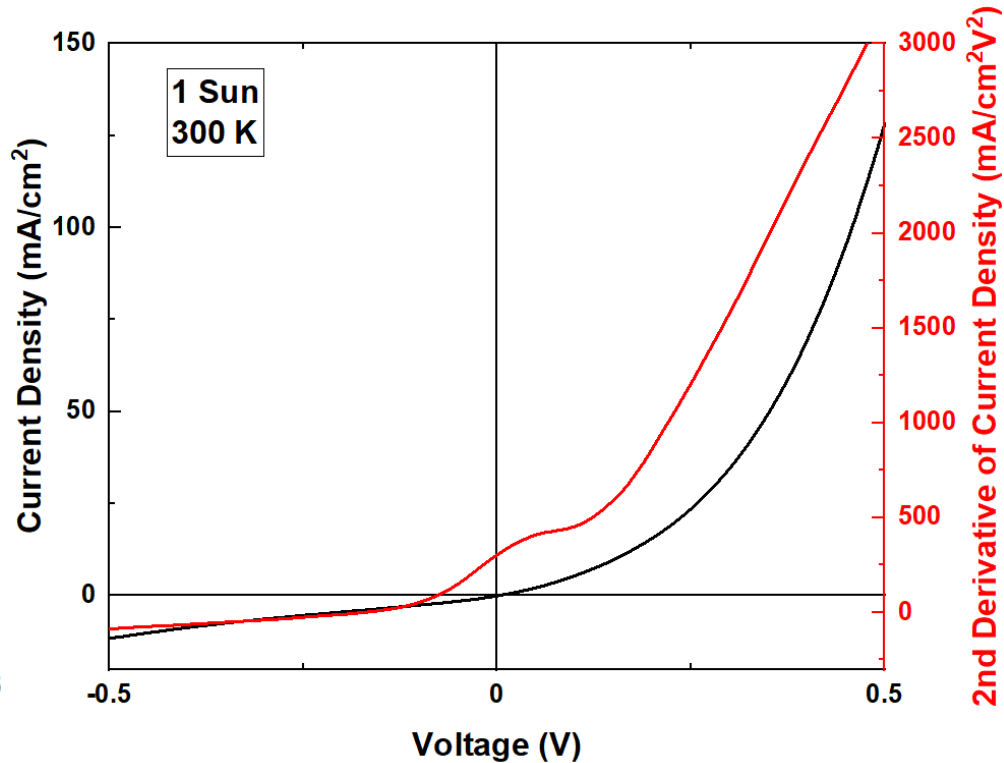
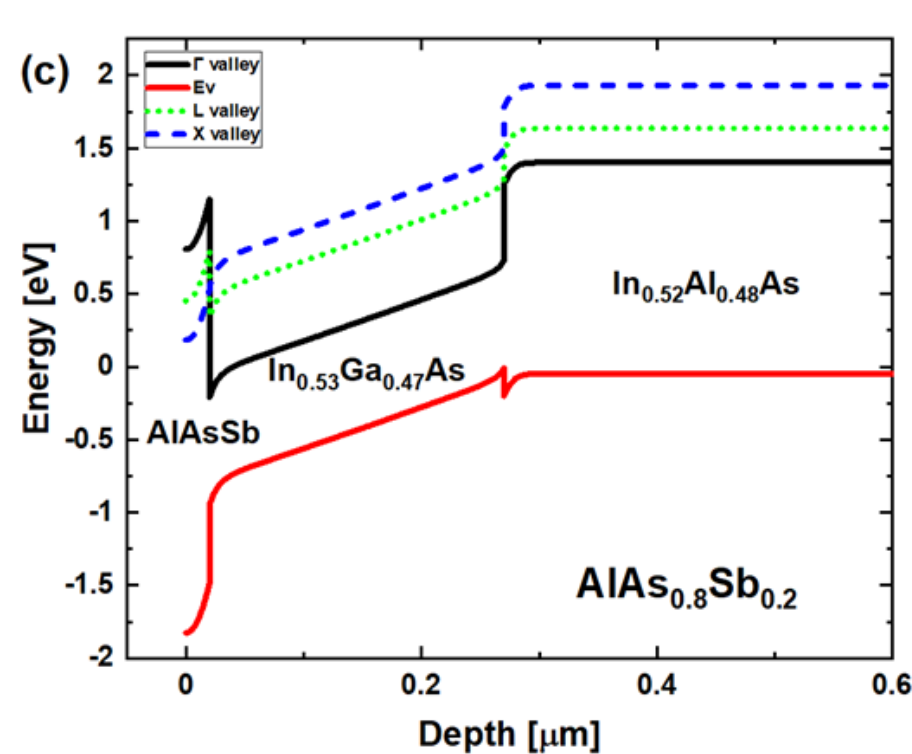


- The L valley is slightly below the Γ valley.
- 2nd Derivative analysis indicates two barriers.





X to X Alignment



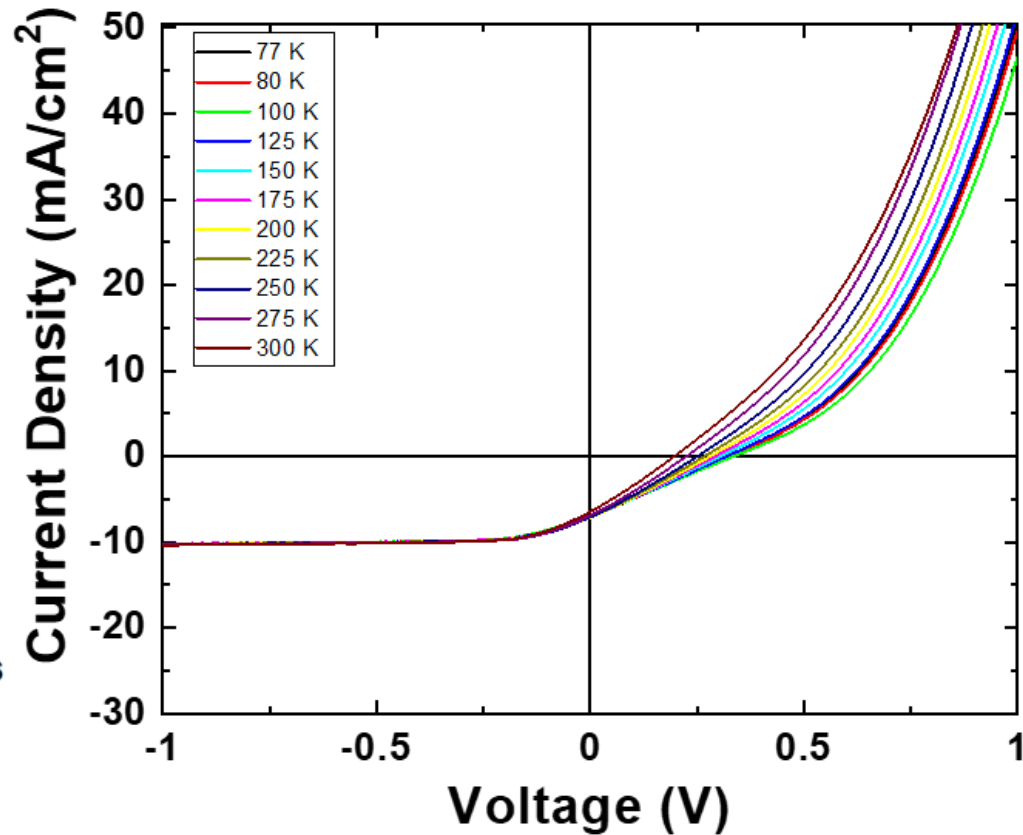
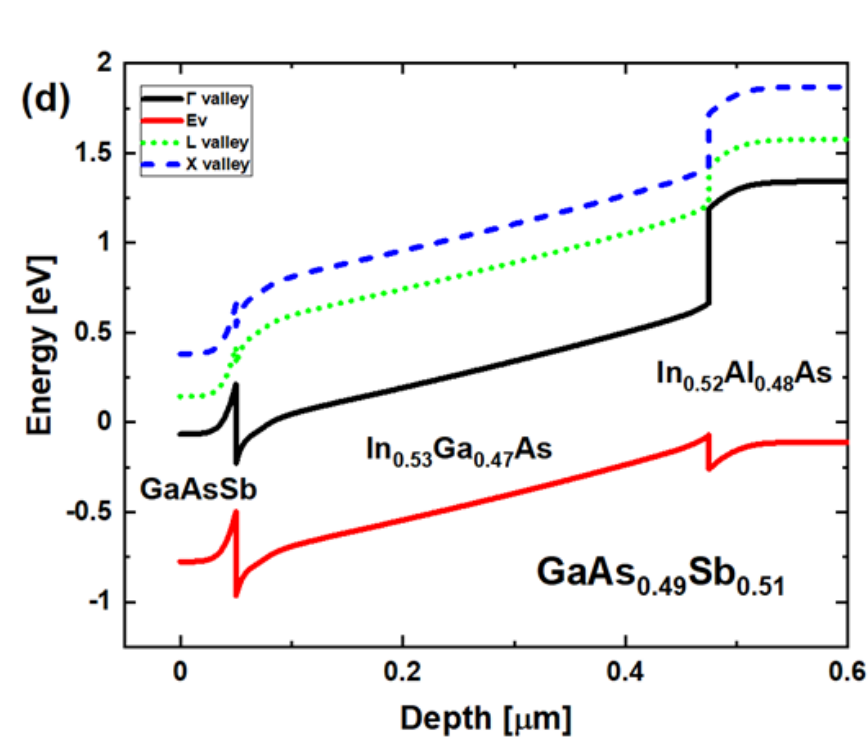
- Amazing line up here!
- Minority carrier extraction is quenched.





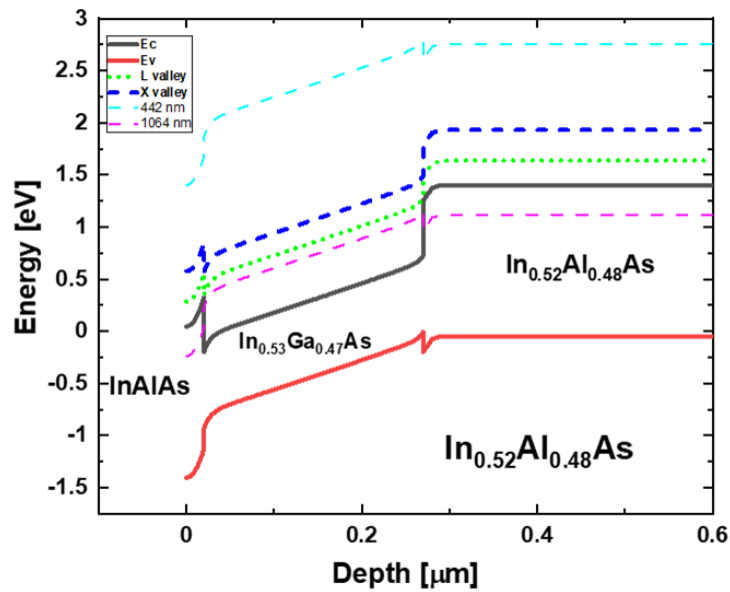
L to L Alignment

B105#8 TD JV 1 Sun

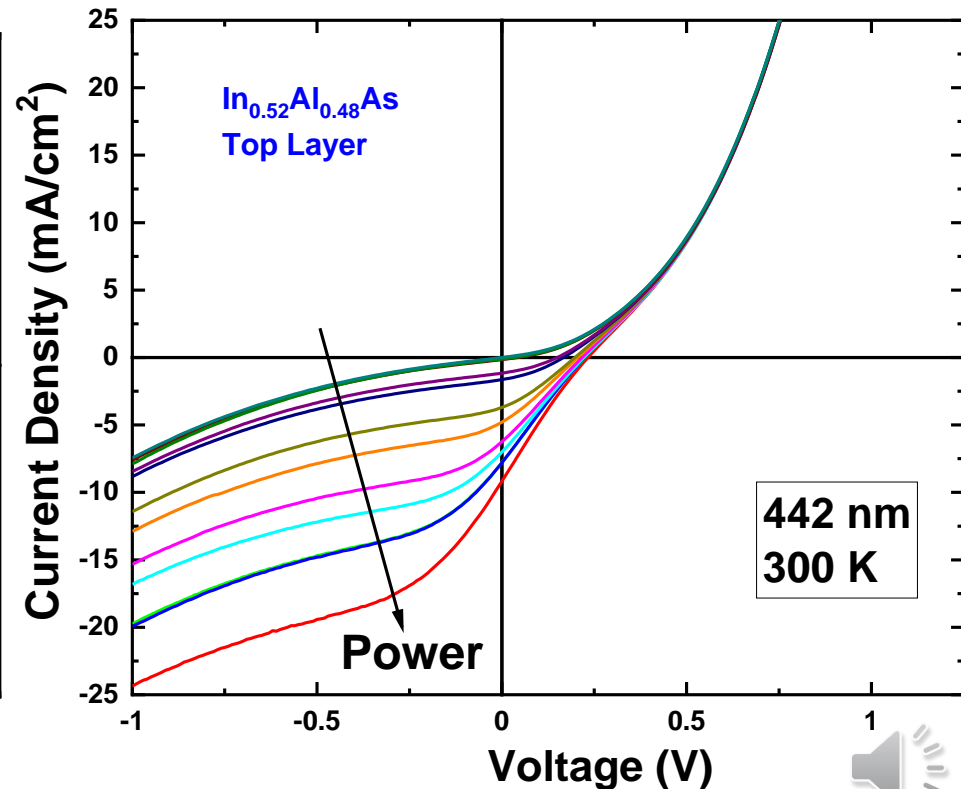
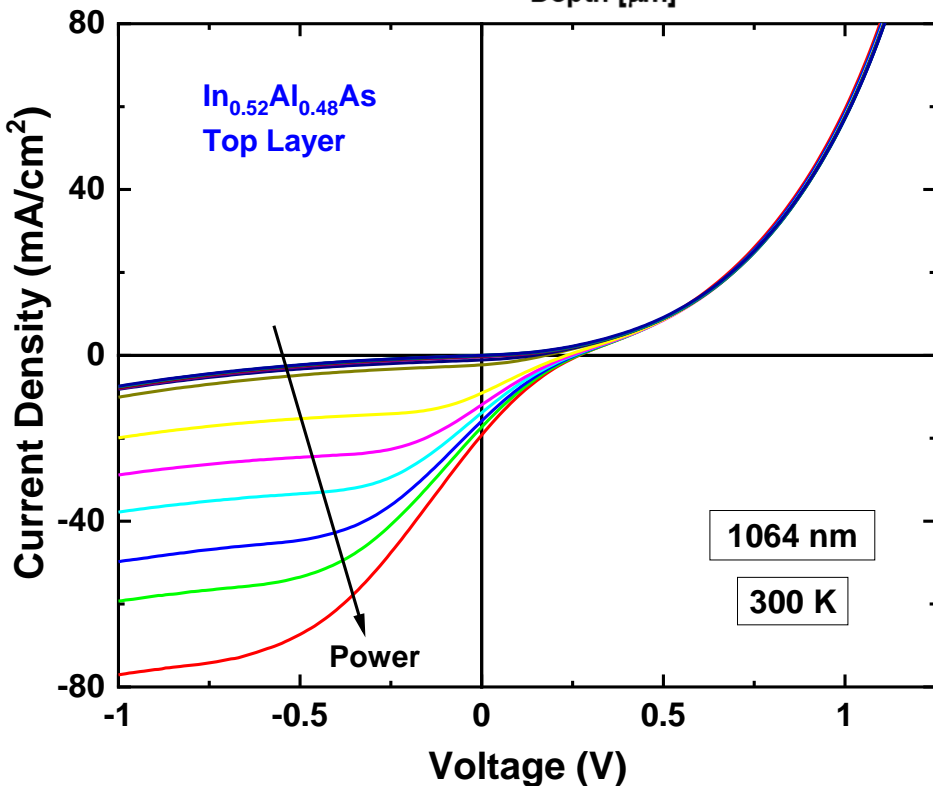


- The L valley of InGaAs is more accessible than the X.



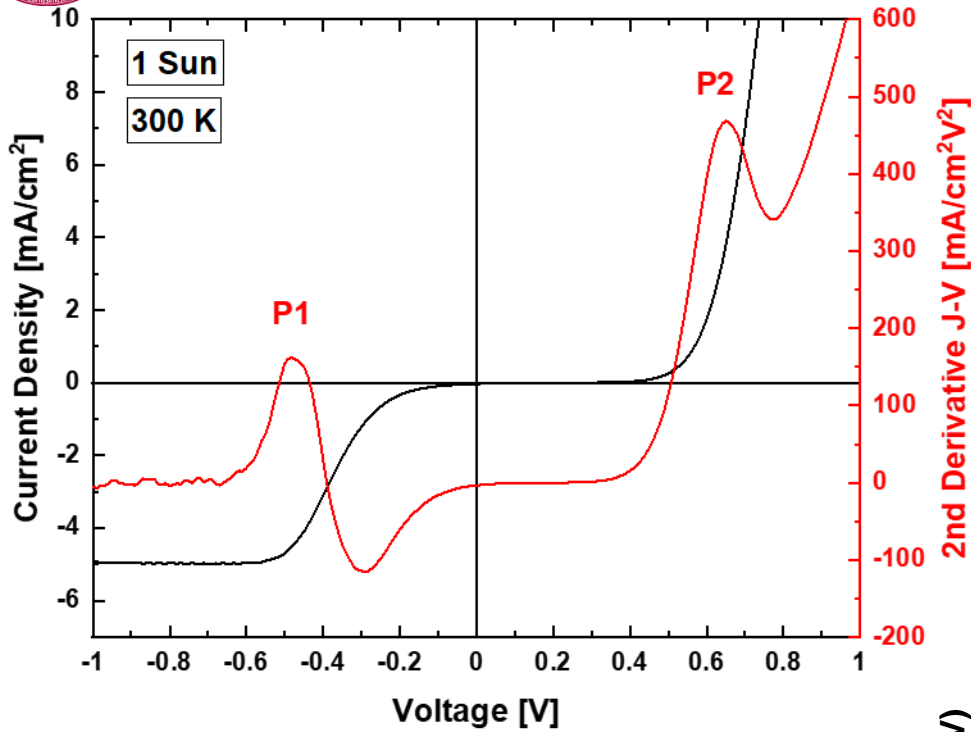


- We can excite above and below the valleys...
- And we don't obtain substantially different results!

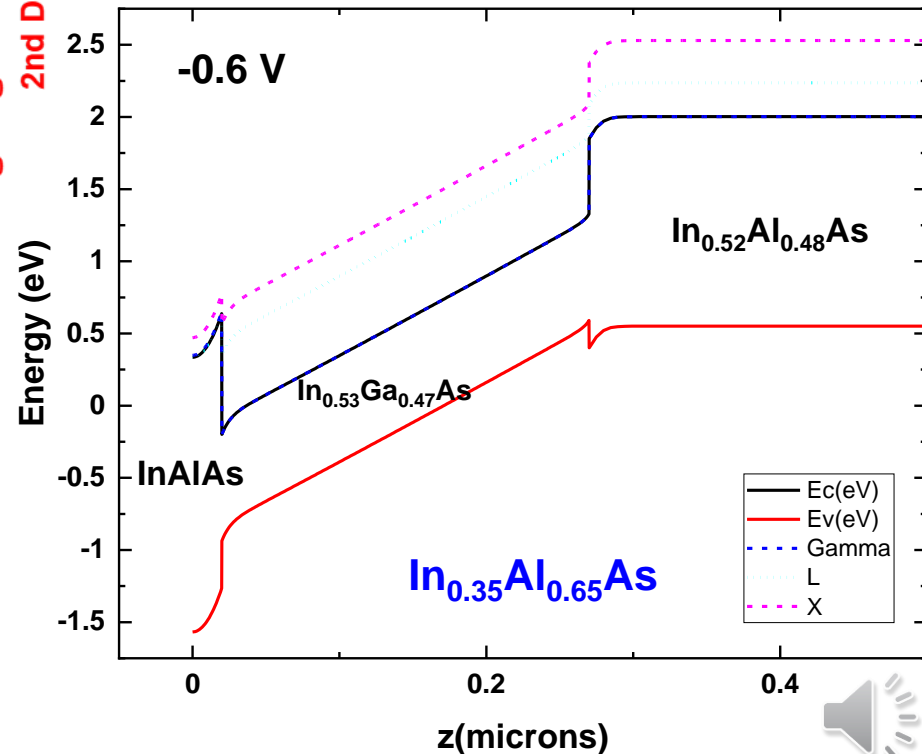




Upper Valley Extraction



- The efficient pathway is still the Γ valley.
- We need a way to efficiently pass current from the upper valley.

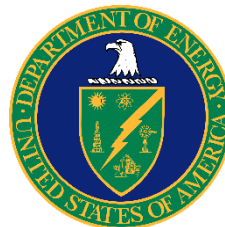




Conclusions and Acknowledgments

- Valley photovoltaics has demonstrated maintenance of hot carrier populations under practical conditions for solar cell operation.
- InGaAs serves well as an absorber material, but modifications to the top layer and the extraction pathway are necessary to advance the design.
- Extraction of hot carriers through the upper valleys of the top layer appears to require more than band alignment alone.
- Further understanding of the operation of those transitions or development of energy selective contacts may be required.

This work is made possible by financial support from the **Department of Energy EPSCoR Program and the Office of Basic Energy Sciences, Materials Science and Energy Division under Award No. #DE-SC0019384**. The GENxplor MBE system was acquired with support from **NSF Grant No. DMR-1229678**.



This work was performed under the umbrella of the **Oklahoma Photovoltaics Research Institute (OKPVRI)** and the **Center for Quantum Research and Technology (CQRT)** at the **University of Oklahoma**.